

DOCKET NO: C01104/70015

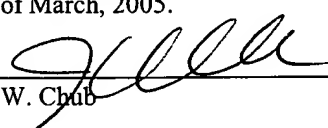
2828

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling et al.
Serial No: 09/805,368
Confirmation No: 1552
Filed: March 13, 2001
For: LIGHT-EMITTING DIODE BASED PRODUCTS
Examiner: David Hung Vu
Art Unit: 2828

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 18 day of March, 2005.


Jeanne W. Chubb

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith are the following documents:

- ☒ [X] Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- ☒ [X] Written Consent of Assignee Accompanying Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- ☒ [X] Certificate Under 37 C.F.R. 3.73(b)
- ☒ [X] Statement of Timothy Holmes Accompanying Request to Correct Inventorship Under 37 C.F.R. §1.48(a)
- ☒ [X] Supplemental Declaration for Patent Application – 5 Executed Copies
- ☒ [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check for \$130.00 is enclosed. If the fee is insufficient, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,
Kevin J. Dowling et al., Applicants

By: 

Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000

Date: March 18, 2005



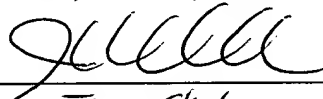
ATTORNEY DOCKET NO.: C01104/70015

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Jeanne Chub

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

This is a request under 37 C.F.R. §1.48(a) to add inventor Timothy Holmes in the above-identified application. Pursuant to 37 C.F.R. §1.48(a), accompanying this request are: 1) a statement from Timothy Holmes that the error in inventorship occurred without deceptive intention on his part; 2) an oath or declaration signed by the actual inventors; and 3) the written consent of the assignee of the original named inventors and accompanying certification under 37 C.F.R. §3.73(b).

The processing fee of \$130, pursuant to 37 C.F.R. §1.17(i), is enclosed. Please charge any deficiencies to Deposit Account No. 50/2762.

Favorable consideration is requested.

Respectfully submitted,

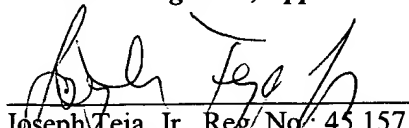
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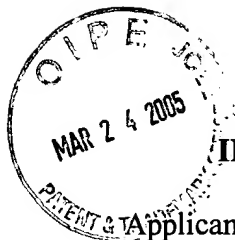
130.00 OP

Kevin Dowling et al., Applicants

By:


Joseph Teja, Jr., Reg. No.: 45,157
Lowrie, Lando & Anastasi, L.L.P.
One Main Street
Cambridge, Massachusetts 02142
Telephone: (617)395-7000

Docket No.: C01104/70015
Date: March 18, 2005



ATTORNEY DOCKET NO.: C01104/70015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin Dowling et al.
Serial No: 09/805,368
Confirmation. No.: 1552
Filed: March 13, 2001
For: LIGHT-EMITTING DIODE BASED PRODUCTS
Examiner: David Hung Vu
Art Unit: 2821

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 15 day of March, 2005.


Jeanne Club

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

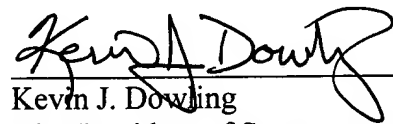
WRITTEN CONSENT OF ASSIGNEE ACCOMPANYING REQUEST TO
CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

Color Kinetics, Incorporated, assignee of the entire right, title, and interest in the above-identified application, hereby gives its written consent to add inventor Timothy Holmes in the above-identified application pursuant to the accompanying request to correct inventorship under 37 C.F.R. §1.48(a).

Respectfully submitted,

June 10, 2004
Date


Kevin J. Dowling
Vice President of Strategy and Technology
Color Kinetics, Inc.



CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Kevin Dowling et al.

Application No.: 09/805,368 Filed: March 13, 2001

Confirmation No.: 1552

Entitled: LIGHT-EMITTING DIODE BASED PRODUCTS (Attorney Docket No. C01104/70015)

Color Kinetics Incorporated, a Massachusetts corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012405, Frame 0354, or for which a copy thereof is attached

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at

Reel _____ Frame _____, _____ or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at

Reel _____ Frame _____, _____ or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at

Reel _____ Frame _____, _____ or for which a copy thereof is attached.

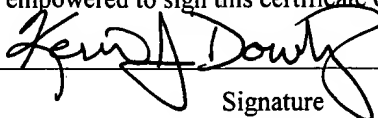
☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

June 10, 2004

Date



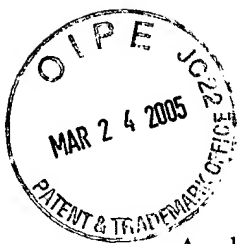
Signature

Kevin J. Dowling

Typed or Printed Name

Vice President of Strategy and Technology

Title



ATTORNEY DOCKET NO.: C01104/70015

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Jeanne Chus

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT OF TIMOTHY HOLMES ACCOMPANYING REQUEST TO
CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)**

Sir/Madam,

I, Timothy Holmes, state that the error in inventorship in the above-identified application occurred without deceptive intention on my part.

This statement is made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that any such willful false statements may jeopardize the validity of this application or any patent issued thereon.

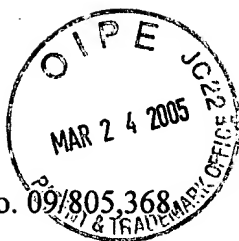
Respectfully submitted,

3-24-04

Date



Timothy Holmes



Serial No. 09/805,368

Attorney Docket No.: C01104/70015

Declaration – Page 1 of 6

SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

LIGHT-EMITTING DIODE BASED PRODUCTS

Which was filed on March 13, 2001, as Application No. 09/805,368, bearing Attorney Docket No. C01104/70015, and was amended on March 13, 2003.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign or PCT International Application(s) and any priority claims under 35 U.S.C. §119(a)-(d) and §365(a),(b):

			Priority Claimed	
_____ (Number)	_____ (Country-if PCT, so indicate)	_____ (DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____ (Number)	_____ (Country-if PCT, so indicate)	_____ (DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____ (Number)	_____ (Country-if PCT, so indicate)	_____ (DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>60/199,333</u> (Application Number)	<u>April 24, 2000</u> (filing date)
<u>60/211,417</u> (Application Number)	<u>June 14, 2000</u> (filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>09/215,624</u> (Application No.)	<u>December 17, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>09/213,607</u> (Application No.)	<u>December 17, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>09/213,189</u> (Application No.)	<u>December 17, 1998</u> (filing date)	<u>Now Patent No. 6,459,919</u> (status-patented, pending, abandoned)
<u>09/213,581</u> (Application No.)	<u>December 17, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>09/213,540</u> (Application No.)	<u>December 17, 1998</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>09/333,739</u> (Application No.)	<u>June 15, 1999</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>09/344,699</u> (Application No.)	<u>June 25, 1999</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>09/626,905</u> (Application No.)	<u>July 27, 2000</u> (filing date)	<u>Now Patent No. 6,340,868</u> (status-patented, pending, abandoned)
<u>09/669,121</u> (Application No.)	<u>September 25, 2000</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>09/742,017</u> (Application No.)	<u>December 20, 2000</u> (filing date)	<u>(status-patented, pending, abandoned)</u>
<u>09/213,537</u> (Application No.)	<u>December 17, 1998</u> (filing date)	<u>Now Patent No. 6,292,901</u> (status-patented, pending, abandoned)
<u>09/213,659</u> (Application No.)	<u>December 17, 1998</u> (filing date)	<u>Now Patent No. 6,211,626</u> (status-patented, pending, abandoned)
<u>08/920,156</u> (Application No.)	<u>August 26, 1997</u> (filing date)	<u>Now Patent No. 6,016,038</u> (status-patented, pending, abandoned)
<u>09/425,770</u> (Application No.)	<u>October 22, 1999</u> (filing date)	<u>Now Patent No. 6,150,774</u> (status-patented, pending, abandoned)

09/213,548	December 17, 1998	Now Patent 6,166,496
(Application No.)	(filing date)	(status-patented, pending, abandoned)
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(Application No.)	(filing date)	(status-patented, pending, abandoned)
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60/090,920	June 26, 1998	
(Application No.)	(filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented,pending,abandoned)
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I hereby appoint the following Registered Practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All Registered Practitioners of Lowrie, Lando & Anastasi, LLP associated with Customer Number 37462.

Address all telephone calls to Joseph Teja, Jr. at telephone no. (617) 395-7000.

Address all correspondence to: the Correspondence Address associated with Customer Number 37462.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature**Date**

Full name of first or joint inventor: Kevin J. Dowling
Citizenship: United States
Residence: 23 Village View Road, Westford, MA
01886
Post Office Address: 23 Village View Road, Westford, MA
01886

Inventor's signature**Date**

Full name of first or joint inventor: Frederick M. Morgan
Citizenship: United States
Residence: 157 Butler Road, Quincy, MA 02169
Post Office Address: 157 Butler Road, Quincy, MA 02169

Inventor's signature**Date**

Full name of first or joint inventor: Ihor A. Lys
Citizenship: United States
Residence: 1221 Canton Avenue, Milton, MA
02186
Post Office Address: 1221 Canton Avenue, Milton, MA
02186

Inventor's signature**Date**

Full name of first or joint inventor: Michael K. Blackwell
Citizenship: United States
Residence: 357 Blue Hills Parkway, Milton, MA
02186
Post Office Address: 357 Blue Hills Parkway, Milton, MA
02186

Inventor's signature**Date**

Full name of first or joint inventor: Alfred Ducharme
Citizenship: United States
Residence: 14245 Weymouth Run, Orlando, FL
32828
Post Office Address: 14245 Weymouth Run, Orlando, FL
32828

Inventor's signature**Date**

Full name of first or joint inventor: Ralph Osterhout
Citizenship: United States
Residence: 81 Lansing #208, San Francisco, CA
04105
Post Office Address: 81 Lansing #208, San Francisco, CA
04105

Inventor's signature**Date**

Full name of first or joint inventor: Colin Piegras
Citizenship: United States
Residence: 262 #1 Lafayette Street, Salem, MA
01970
Post Office Address: 262 #1 Lafayette Street, Salem, MA
01970


Inventor's signature**Date**

Full name of first or joint inventor: George G. Mueller
Citizenship: United States
Residence: 234 Hanover Street, Boston, MA
02113
Post Office Address: 234 Hanover Street, Boston, MA
02113

Inventor's signature

Date

Full name of first or joint inventor: Dawn Geary
Citizenship: United States
Residence: 46 Bigelow Road, Southborough, MA
01772
Post Office Address: 46 Bigelow Road, Southborough, MA
01772

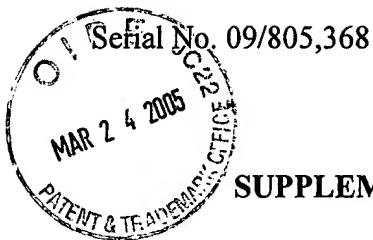


3-24-04

Inventor's signature

Date

Full name of first or joint inventor: Timothy Holmes
Citizenship: United States
Residence: 2825 Sylvan Lane, Jacksonville, FL
32257
Post Office Address: 2825 Sylvan Lane, Jacksonville, FL
32257



Attorney Docket No.: C01104/70015
Declaration – Page 1 of 6

SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION

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My residence, post office address and citizenship are as stated below next to my name.

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			YES	NO
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Serial No. 09/805,368

Attorney Docket No.: C01104/70015

Declaration – Page 3 of 6

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Post Office Address: 23 Village View Road, Westford, MA
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Inventor's signature

Date

Full name of first or joint inventor: Frederick M. Morgan
Citizenship: United States
Residence: 157 Butler Road, Quincy, MA 02169
Post Office Address: 157 Butler Road, Quincy, MA 02169

Inventor's signature

Date

Full name of first or joint inventor: Ihor A. Lys
Citizenship: United States
Residence: 1221 Canton Avenue, Milton, MA
02186
Post Office Address: 1221 Canton Avenue, Milton, MA
02186

Inventor's signature

Date

Full name of first or joint inventor: Michael K. Blackwell
Citizenship: United States
Residence: 357 Blue Hills Parkway, Milton, MA
02186
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02186

Serial No. 09/805,368

Attorney Docket No.: C01104/70015

Declaration - Page 5 of 6



2/23/05

Inventor's signature

Date

Full name of first or joint inventor: Alfred Ducharme

Citizenship: United States

Residence: ~~14245 Waymouth Park, Orlando, FL 32828~~ 308 Radisson Place
Oviedo, FL 32765

Post Office Address: ~~14245 Waymouth Park, Orlando, FL 32828~~ 308 Radisson Place
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Full name of first or joint inventor: Ralph Osterhout

Citizenship: United States

Residence: 81 Lansing #208, San Francisco, CA
04105

Post Office Address: 81 Lansing #208, San Francisco, CA
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Full name of first or joint inventor: Colin Piepgras

Citizenship: United States

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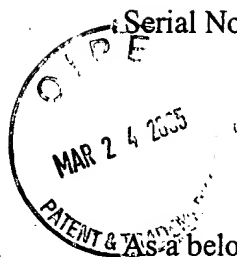
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Full name of first or joint inventor: Dawn Geary
Citizenship: United States
Residence: 46 Bigelow Road, Southborough, MA
01772
Post Office Address: 46 Bigelow Road, Southborough, MA
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Full name of first or joint inventor: Timothy Holmes
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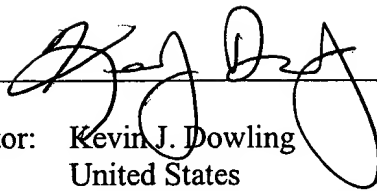
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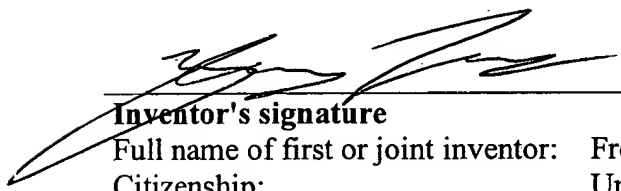
Inventor's signature

Full name of first or joint inventor: Kevin J. Dowling
Citizenship: United States
Residence: 23 Village View Road, Westford, MA
01886
Post Office Address: 23 Village View Road, Westford, MA
01886


JUN 10, 2004
Date

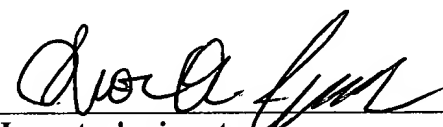
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Full name of first or joint inventor: Frederick M. Morgan
Citizenship: United States
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June 11, 2004
Date


Inventor's signature

Full name of first or joint inventor: Ihor A. Lys
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June 11 2004
Date

Inventor's signature

Full name of first or joint inventor: Michael K. Blackwell
Citizenship: United States
Residence: 357 Blue Hills Parkway, Milton, MA
02186
Post Office Address: 357 Blue Hills Parkway, Milton, MA
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10 June 2004
Date

Inventor's signature

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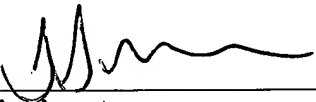


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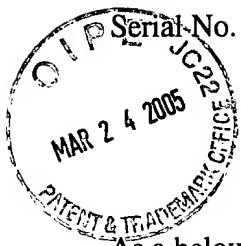
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Serial No. 09/805,368

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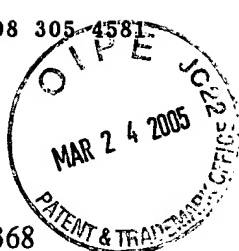
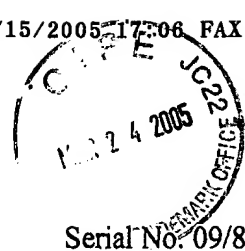
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<u>08/920,156</u>	<u>August 26, 1997</u>	Now Patent No. 6,016,038
(Application No.)	(filing date)	(status-patented, pending, abandoned)
<u>09/425,770</u>	<u>October 22, 1999</u>	Now Patent No. 6,150,774
(Application No.)	(filing date)	(status-patented, pending, abandoned)

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09/213,548 (Application No.)	December 17, 1998 (filing date)	Now Patent 6,166,496 (status-patented, pending, abandoned)
60/071,281 (Application No.)	December 17, 1997 (filing date)	(status-patented, pending, abandoned)
60/068,792 (Application No.)	December 24, 1997 (filing date)	(status-patented, pending, abandoned)
60/078,861 (Application No.)	March 20, 1998 (filing date)	(status-patented, pending, abandoned)
60/079,285 (Application No.)	March 25, 1998 (filing date)	(status-patented, pending, abandoned)
60/090,920 (Application No.)	June 26, 1998 (filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented,pending,abandoned)
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I hereby appoint the following Registered Practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All Registered Practitioners of Lowrie, Lando & Anastasi, LLP associated with Customer Number 37462.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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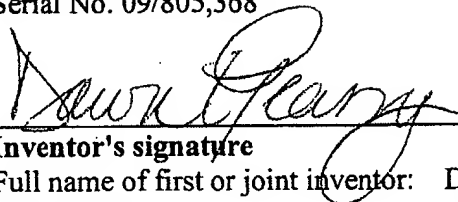
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2/25/05

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